



UT20 Rec'd PCT/PTO 17 MAR 2003

PCT

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Patent Application of:

Applicant(s): Annemarie Poustka, et al.

Application No.: 09/914,549

Date Filed: June 5, 2002

International Filing Date: 28 February 2000

Priority Date Claimed: 26 February 1999

Title: PROTEIN (TP) THAT IS INVOLVED  
IN THE DEVELOPMENT OF THE  
NERVOUS SYSTEM

Atty. Docket No.: 4121-129

Conf. No.: 9711

Examiner: Vonda M.  
Wallace

Group Art Unit: 1646

Customer No.: 23448



23448

PATENT TRADEMARK OFFICE

FIRST CLASS MAIL CERTIFICATE

I hereby certify that I am mailing the attached documents to the Commissioner for Patents on the date specified, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231, and First Class Mailed under the provisions of 37 CFR 1.8.

Blake Crouch

March 6, 2003

Date of Mailing

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REQUEST FOR CORRECTED FILING RECEIPT IN  
U.S. PATENT APPLICATION NO. 09/914,549

Commissioner for Patents  
Washington, DC 20231

Sir:

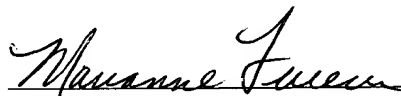
A review of the Filing Receipt in the above-identified patent application, as received in the undersigned attorney's office, has revealed the presence of an error in the number of independent claims.

In the Filing Receipt, the number of independent claims is incorrectly stated as 11. The correct number of independent claims is 12. Please note that the proper fees for 12 independent claims were paid at the time of submission of the initial filing of the Patent Application on August 24, 2001 to the U.S. Patent and Trademark Office.

Request hereby is made for issue of a Corrected Filing Receipt for the subject U.S. patent application.

The error has been annotated in red ink on the accompanying copy of the Filing Receipt.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Marianne Fuierer", is written over a horizontal line.

Marianne Fuierer

Reg. No. 39,983

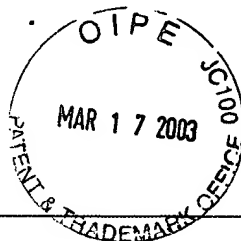
Attorney for Applicants

**INTELLECTUAL PROPERTY/  
TECHNOLOGY LAW**

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Fax: (919) 419-9354

Attorney File No.: 4121-129



Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/914,549	06/05/2002 ✓ 04	1646	1373	4121-129	124	38	44-12

Steven J Hultquist  
Intellectual Property Technology Law  
PO Box 14329  
Research Triangle Park, NC 27709

CONFIRMATION NO. 9711

**FILING RECEIPT**



\*OC000000009523933\*

Date Mailed: 02/28/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Annemarie Poustka, Heidelberg, GERMANY;  
Johannes Coy, Grossostheim, GERMANY;

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/DE00/00583 02/28/2000

**Foreign Applications**

GERMANY 19908423.8 02/26/1999

**Projected Publication Date:** None, application is not eligible for pre-grant publication

**Non-Publication Request:** No

**Early Publication Request:** No

**\*\* SMALL ENTITY \*\***

**Title**

Protein (tp) that is involved in the development of the nervous system

**Preliminary Class**

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**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box 901  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/914,549	Annemarie Poustka	4121-129

INTERNATIONAL APPLICATION NO.
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PCT/DE00/00583

I.A. FILING DATE	PRIORITY DATE
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02/28/2000

02/26/1999

Steven J Hultquist  
 Intellectual Property Technology Law  
 PO Box 14329  
 Research Triangle Park, NC 27709

CONFIRMATION NO. 9711

371 ACCEPTANCE LETTER



\*OC000000009523934\*

Date Mailed: 02/28/2003

### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

06/05/2002

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and  
 (c)(4) REQUIREMENTS

06/05/2002

DATE OF RECEIPT OF ALL 35 U.S.C.  
 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Indication of Small Entity Status
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments

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- Small Entity Statement

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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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VONDA M WALLACE

Telephone: (703) 305-3736

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)